# Package 'wru'

May 24, 2024

**Title** Who are You? Bayesian Prediction of Racial Category Using Surname, First Name, Middle Name, and Geolocation

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Description Predicts individual race/ethnicity using surname, first name, middle name, geolocation, and other attributes, such as gender and age. The method utilizes Bayes' Rule (with optional measurement error correction) to compute the posterior probability of each racial category for any given individual. The package implements methods described in Imai and Khanna (2016) ``Improving Ecological Inference by Predicting Individual Ethnicity from Voter Registration Records" Political Analysis <DOI:10.1093/pan/mpw001> and Imai, Olivella, and Rosenman (2022) ``Addressing census data problems in race imputation via fully Bayesian Improved Surname Geocoding and name supplements" <DOI:10.1126/sciadv.adc9824>. The package also incorporates the data described in Rosenman, Olivella, and Imai (2023) ``Race and ethnicity data for first, middle, and surnames"

<DOI:10.1038/s41597-023-02202-2>.

License GPL (>= 3)

URL https://github.com/kosukeimai/wru

BugReports https://github.com/kosukeimai/wru/issues

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LinkingTo Rcpp, RcppArmadillo

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LazyLoad yes

2 format\_legacy\_data

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format\_legacy\_data Legacy data formatting function.

# **Description**

format\_legacy\_data formats legacy data from the U.S. census to allow for Bayesian name geocoding.

# Usage

```
format_legacy_data(legacyFilePath, state, outFile = NULL)
```

#### **Arguments**

legacyFilePath A character vector giving the location of a legacy census data folder, sourced

from https://www2.census.gov/programs-surveys/decennial/2020/data/01-Redistricting\_File-

PL\_94-171/. These file names should end in ".pl".

state The two letter state postal code.

Optional character vector determining whether the formatted RData object should outFile

be saved. The filepath should end in ".RData".

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#### **Details**

This function allows users to construct datasets for analysis using the census legacy data format. These data are available for the 2020 census at https://www2.census.gov/programs-surveys/decennial/2020/data/01-Redistricting\_File\_PL\_94-171/. This function returns data structured analogously to data from the Census API, which is not yet available for the 2020 Census as of September 2021.

#### **Examples**

get\_census\_data

Multilevel Census data download function.

#### **Description**

get\_census\_data returns county-, tract-, and block-level Census data for specified state(s). Using this function to download Census data in advance can save considerable time when running predict\_race and census\_helper.

#### Usage

```
get_census_data(
   key = Sys.getenv("CENSUS_API_KEY"),
   states,
   age = FALSE,
   sex = FALSE,
   year = "2020",
   census.geo = c("tract", "block", "block_group", "county", "place", "zcta"),
   retry = 3,
   county.list = NULL
)
```

# **Arguments**

key A character string containing a valid Census API key, which can be requested

from the U.S. Census API key signup page.

By default, attempts to find a census key stored in an environment variable

named CENSUS\_API\_KEY.

states which states to extract Census data for, e.g., c("NJ", "NY").

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age	A TRUE/FALSE object indicating whether to condition on age or not. If FALSE (default), function will return $Pr(Geolocation \mid Race)$ . If TRUE, function will return $Pr(Geolocation, Age \mid Race)$ . If $sex$ is also TRUE, function will return $Pr(Geolocation, Age, Sex \mid Race)$ .
sex	A TRUE/FALSE object indicating whether to condition on sex or not. If FALSE (default), function will return $Pr(Geolocation \mid Race)$ . If TRUE, function will return $Pr(Geolocation, Sex \mid Race)$ . If $age$ is also TRUE, function will return $Pr(Geolocation, Age, Sex \mid Race)$ .
year	A character object specifying the year of U.S. Census data to be downloaded. Use "2010", or "2020". Default is "2020". Warning: 2020 U.S. Census data is downloaded only when <i>age</i> and <i>sex</i> are both FALSE.
census.geo	An optional character vector specifying what level of geography to use to merge in U.S. Census 2010 geographic data. Currently "county", "tract", "block", and "place" are supported.
retry	The number of retries at the census website if network interruption occurs.
county.list	A named list of character vectors of counties present in your voter.file, per state.

# Value

Output will be an object of class list indexed by state. Output will contain a subset of the following elements: state, age, sex, county, tract, block\_group, block, and place.

#### **Examples**

# Description

predict\_race makes probabilistic estimates of individual-level race/ethnicity.

#### Usage

```
predict_race(
  voter.file,
  census.surname = TRUE,
  surname.only = FALSE,
  census.geo = c("tract", "block", "block_group", "county", "place", "zcta"),
  census.key = Sys.getenv("CENSUS_API_KEY"),
  census.data = NULL,
  age = FALSE,
  sex = FALSE,
  year = "2020",
```

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```
party = NULL,
retry = 3,
impute.missing = TRUE,
skip_bad_geos = FALSE,
use.counties = FALSE,
model = "BISG",
race.init = NULL,
name.dictionaries = NULL,
names.to.use = "surname",
control = NULL
```

# **Arguments**

voter.file

An object of class data.frame. Must contain a row for each individual being predicted, as well as a field named *surname* containing each individual's surname. If using geolocation in predictions, *voter.file* must contain a field named *state*, which contains the two-character abbreviation for each individual's state of residence (e.g., "nj" for New Jersey). If using Census geographic data in race/ethnicity predictions, *voter.file* must also contain at least one of the following fields: *county*, *tract*, *block\_group*, *block*, and/or *place*. These fields should contain character strings matching U.S. Census categories. County is three characters (e.g., "031" not "31"), tract is six characters, block group is usually a single character and block is four characters. Place is five characters. See below for other optional fields.

census.surname

A TRUE/FALSE object. If TRUE, function will call merge\_surnames to merge in Pr(Race | Surname) from U.S. Census Surname List (2000, 2010, or 2020) and Spanish Surname List. If FALSE, user must provide a name.dictionary (see below). Default is TRUE.

surname.only

A TRUE/FALSE object. If TRUE, race predictions will only use surname data and calculate Pr(Race | Surname). Default is FALSE.

census.geo

An optional character vector specifying what level of geography to use to merge in U.S. Census geographic data. Currently "county", "tract", "block\_group", "block", and "place" are supported. Note: sufficient information must be in user-defined voter.file object. If census.geo = "county", then voter.file must have column named county. If census.geo = "tract", then voter.file must have columns named county and tract. And if census.geo = "block", then voter.file must have columns named county, tract, and block. If census.geo = "place", then voter.file must have column named place. If census.geo = "zcta", then voter.file must have column named zcta. Specifying census.geo will call census\_helper function to merge Census geographic data at specified level of geography.

census.key

A character object specifying user's Census API key. Required if census.geo is specified, because a valid Census API key is required to download Census geographic data.

If NULL, the default, attempts to find a census key stored in an environment variable named CENSUS\_API\_KEY.

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census.data A list indexed by two-letter state abbreviations, which contains pre-saved Cen-

sus geographic data. Can be generated using get\_census\_data function.

age An optional TRUE/FALSE object specifying whether to condition race predictions

on age (in addition to surname and geolocation). Default is FALSE. Must be same as age in census.data object. May only be set to TRUE if census.geo option is

specified. If TRUE, voter.file should include a numerical variable age.

sex optional TRUE/FALSE object specifying whether to condition race predictions on

sex (in addition to surname and geolocation). Default is FALSE. Must be same as *sex* in *census.data* object. May only be set to TRUE if census.geo option is specified. If TRUE, *voter.file* should include a numerical variable *sex*, where *sex* 

is coded as 0 for males and 1 for females.

year An optional character vector specifying the year of U.S. Census geographic data

to be downloaded. Use "2010", or "2020". Default is "2020".

party An optional character object specifying party registration field in *voter.file*, e.g.,

party = "PartyReg". If specified, race/ethnicity predictions will be conditioned on individual's party registration (in addition to geolocation). Whatever the name of the party registration field in *voter.file*, it should be coded as 1 for

Democrat, 2 for Republican, and 0 for Other.

retry The number of retries at the census website if network interruption occurs.

impute.missing Logical, defaults to TRUE. Should missing be imputed?

skip\_bad\_geos Logical. Option to have the function skip any geolocations that are not present in

the census data, returning a partial data set. Default is set to FALSE, in which case it will break and provide error message with a list of offending geolocations.

use.counties A logical, defaulting to FALSE. Should census data be filtered by counties avail-

able in census.data?

model Character string, either "BISG" (default) or "fBISG" (for error-correction, fully-

Bayesian model).

race.init Vector of initial race for each observation in voter.file. Must be an integer vector,

with 1=white, 2=black, 3=hispanic, 4=asian, and 5=other. Defaults to values

obtained using model="BISG\_surname".

name.dictionaries

Optional named list of data.frame's containing counts of names by race. Any of the following named elements are allowed: "surname", "first", "middle". When present, the objects must follow the same structure as last\_c, first\_c,

mid\_c, respectively.

names.to.use One of 'surname', 'surname, first', or 'surname, first, middle'. Defaults to 'sur-

name'.

control List of control arguments only used when model="fBISG", including

iter Number of MCMC iterations. Defaults to 1000.

burnin Number of iterations discarded as burnin. Defaults to half of iter.

verbose Print progress information. Defaults to TRUE.

**me.correct** Boolean. Should the model correct measurement error for races | geo? Defaults to TRUE.

**seed** RNG seed. If NULL, a seed is generated and returned as an attribute for reproducibility.

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#### **Details**

This function implements the Bayesian race prediction methods outlined in Imai and Khanna (2015). The function produces probabilistic estimates of individual-level race/ethnicity, based on surname, geolocation, and party.

#### Value

Output will be an object of class data.frame. It will consist of the original user-input voter.file with additional columns with predicted probabilities for each of the five major racial categories: pred.whi for White, pred.bla for Black, pred.his for Hispanic/Latino, pred.asi for Asian/Pacific Islander, and pred.oth for Other/Mixed.

#### **Examples**

```
#' data(voters)
try(predict_race(voter.file = voters, surname.only = TRUE))
## Not run:
try(predict_race(voter.file = voters, census.geo = "tract"))
## End(Not run)
## Not run:
try(predict_race(
 voter.file = voters, census.geo = "place", year = "2020"))
## End(Not run)
## Not run:
CensusObj <- try(get_census_data(state = c("NY", "DC", "NJ")))</pre>
try(predict_race(
 voter.file = voters, census.geo = "tract", census.data = CensusObj, party = "PID")
## End(Not run)
## Not run:
CensusObj2 <- try(get_census_data(state = c("NY", "DC", "NJ"), age = T, sex = T))
try(predict_race(
 voter.file = voters, census.geo = "tract", census.data = CensusObj2, age = T, sex = T))
## End(Not run)
## Not run:
CensusObj3 <- try(get_census_data(state = c("NY", "DC", "NJ"), census.geo = "place"))</pre>
try(predict_race(voter.file = voters, census.geo = "place", census.data = CensusObj3))
## End(Not run)
```

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#### **Description**

Dataset including FIPS codes and postal abbreviations for each U.S. state, district, and territory.

# Usage

```
state_fips
```

#### **Format**

```
A tibble with 57 rows and 3 columns:
state Two-letter postal abbreviation
state_code Two-digit FIPS code
state_name English name
```

#### **Source**

Derived from tidycensus::fips\_codes()

surnames2000

Census Surname List (2000).

# Description

Census Surname List from 2000 with race/ethnicity probabilities by surname.

# Usage

surnames2000

#### **Format**

A data frame with 157,728 rows and 6 variables:

```
surname Surname

p_whi Pr(White | Surname)

p_bla Pr(Black | Surname)

p_his Pr(Hispanic/Latino | Surname)

p_asi Pr(Asian/Pacific Islander | Surname)

p_oth Pr(Other | Surname) #'
```

# **Examples**

data(surnames2000)

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surnames2010

Census Surname List (2010).

# Description

Census Surname List from 2010 with race/ethnicity probabilities by surname.

# Usage

surnames2010

#### **Format**

A data frame with 167,613 rows and 6 variables:

```
surname Surname
```

p\_whi Pr(White | Surname)

p\_bla Pr(Black | Surname)

p\_his Pr(Hispanic/Latino | Surname)

p\_asi Pr(Asian/Pacific Islander | Surname)

p\_oth Pr(Other | Surname) #'

# **Examples**

data(surnames)

voters

Example voter file.

# Description

An example dataset containing voter file information.

# Usage

voters

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# **Format**

A data frame with 10 rows and 12 variables:

**VoterID** Voter identifier (numeric)

surname Surname

state State of residence

CD Congressional district

county Census county (three-digit code)

**first** First name

last Last name or surname

tract Census tract (six-digit code)

**block** Census block (four-digit code)

precinct Voting precinct

place Voting place

age Age in years

sex 0=male, 1=female

party Party registration (character)

PID Party registration (numeric) #'

#### **Examples**

data(voters)

wru\_data\_preflight

Preflight for name data

# Description

Checks if namedata is available in the current working directory, if not downloads it from github using piggyback. By default, wru will download the data to a temporary directory that lasts as long as your session does. However, you may wish to set the wru\_data\_wd option to save the downloaded data to your current working directory for more permanence.

#### Usage

```
wru_data_preflight()
```

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